

Los Alamos National Laboratory Assessment Planning Tool – 04/28/09

| Sort | Sort | | Sort | | Sort | | | | | | Sort | | | | | | |
|--------------------|--|------------------------------|----------|------------------|-----------------|---|--|----------------|------------------|---|-----------------------------------|---|--------------|-------------------|--------------------------|--|--|
| Type of Assessment | Primary Requirement or Driver | Requirement/Driver Specifics | Category | Recurring Assmt? | Assmt Frequency | What <u>assessment scope</u> is necessary to meet requirements? | Do you want this assessment on the schedule for the next FY? | Assessed AD(s) | Assessed Div-Grp | Assessed Point of Contact (NA for Mgt Assmts) | Estimated FY Quarter for Schedule | Estimated Field Observation Start Date (or TBD) | Assessing AD | Assessing Div-Grp | Assmt Team Lead (or TBD) | Other Participating Orgs Acting as Assessors | |
| | <ul style="list-style-type: none"> External Regulatory Req. DOE/NNSA Order/Directive Customer Request Prime Contract/PEP LANL Policy/Procedure Corrective Action Continuous Improvement Mgt Commitment | | | | | | | | | | | | | | | | |

Step 1) Required Assessments Planning Worksheet

| | Sort | Sort | | Sort | Sort | Sort | | Sort | | Sort | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Risk, Concern, Vulnerability, Uncertainty, Missed Opportunity | Category | Worst Case Consequence Category | Consequence | Actions planned or underway to manage the risk or seize the opportunity | Likelihood of Action Success (Certainty) | Likelihood # | Risk Level (Numerical) (1-100) | Risk Level (Adjective) | Will Assessment Improve Likelihood of Success? | What Type of Assessment? | Recurring Assmt? | Assmt Frequency | What <u>assessment scope</u> would be the most beneficial in improving the certainty of success? | Do you want this assessment on the schedule for the next FY? | Assessment Title | Assessed AD(s) | Assessed Div-Grp | Assessed Point of Contact (NA for Mgt Assmts) | Estimated FY Quarter for Schedule | Estimated Field Observation Start Date (or TBD) | Assessing AD | Assessing Div-Grp | Assmt Team Lead (or TBD) | Other Participating Orgs Acting as Assessors | | | | | | | | | | | |
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Step 2) Risk Prioritized Assessments Planning Worksheet

