
B&W Y-12 Security Enforcement Letter

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Y-12 Security Enforcement Letter

- Issued July 13, 2009
- Based on analysis of CY-2008 and first four months of CY-2009 Security Incident Reports submitted to Safeguards and Security Information Management System (SSIMS)
- Concern focused on unfavorable trends regarding increased frequency and security significance of Y-12 events involving classified emails sent by unapproved methods

Y-12 Response

- NNSA Site manager requested meeting with Office of Security Enforcement to discuss concerns and path forward
- Meeting of NNSA and Y-12 senior management held with Steven Crowe and Carrienne Zimmerman on July 29, 2009
- Y-12 Security acknowledged previous awareness of unfavorable trend having been identified through Quarterly Trending Analysis, reviewed corrective actions taken, and showed data indicating improvement
- OE concluded that had the information provided been previously reported and the trend identified that the letter would not have been necessary
- A follow-up letter sent from B&W Y-12 President making commitment to improve communications regarding any emerging issues or trends in the future

Pre-Letter Actions

- Self Assessment using the 10 CFR 824 Peer Review checklist.
- Weaknesses identified
- Corrective actions developed
- Consideration and planning SSTARs as a solution to enhanced trending analysis
- Conducting trending analysis within the IOSC department.
- Screening for 10 CFR 824 within SS&ES Issues Management.

Post-Letter Actions

- Conducted a gap analysis
- Briefed General Manager's senior leadership team regarding letter and email issue. Resulted in task team.
- Placed the IOSC Program Manager on the Issues Management Board (IMB) as a core member.
- Included all IMIs in the issues management process including causal analysis and corrective action planning of all IMI 1, 2, 3 and selected 4s and document in SSTARs.
- Trending analysis now includes IOSC and non-IOSC incident information as well as assessment information in SSTARs.
- Identified additional consequences that are required to "stop the bleeding". Work with senior management and Human Resources to implement increased employee discipline.

Security Program Review Focusing on 10 CFR 824 Implementation

- October 5-8, 2009
- Team consisted of Steven Crowe, Carrienne Zimmerman, and Lin Livingston
- 10 CFR 824 overview provided
- Two days of data collection and review
- Out briefing to NNSA and contractor management on Thursday, October 8, 2009
- Draft report for factual accuracy review expected within 30 days